Counting Permutation Classes Quickly Using Tilings



TAT

joint work with Christian Bean

Permutation Patterns 2025 July 10, 2025 Jay Pantone Marquette University







1324-avoiding permutations revisited



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ABSTRACT

We give an improved algorithm for counting the number of 1324-avoiding permutations, resulting in 14 further terms of the generating function, which is now known for all lengths \leq 50. We re-analyse the generating function and find additional evidence for our earlier conclusion that unlike other classical length-4 pattern-avoiding permutations, the generating function does not have a simple powerlaw singularity, but rather, the number of 1324-avoiding permutations of length n behaves as

$$B\cdot \mu^n\cdot \mu_1^{\sqrt{n}}\cdot n^g.$$

We estimate $\mu = 11.600 \pm 0.003$, $\mu_1 = 0.0400 \pm 0.0005$, $q = -1.1 \pm 0.1$ while the estimate of B depends sensitively on the precise value of μ , μ_1 and g. This reanalysis provides substantially more compelling arguments for the presence of the stretched exponential term $\mu_1^{\sqrt{n}}$.

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- computed the number of 1324avoiding permutations up to length 50
- asymptotic analysis



Table 1

100 EI

An example of the state as the permutation 5 4 2 7 10 8 9 1 3 6 is built up. The numbers above the link diagrams indicate the actual numbers that the link end represents. The numbers in parentheses correspond to the four types of insertion given in the text. Conversely, consider the similar permutation 5 4 2 7 10 6 9 1 3 8 which is not 1324 avoiding. The first five elements are the same; the sixth element is not allowed, as it **B permutations up to length 50** avoiding. The first five elements are the same; the sixth element is not allowed, as it would have to go inside the loop ending at 7.

1324	Element	Notes
		Start state
Andr School	5	Not consecutive with anything; future elements could go either side. (1)
Austra	4	Consecutive with 5 and so merged into it. (3)
ART	2	New link as not consecutive with anything. (1)
Article Receive Accept Availat	7	Larger than a previous link, makes constraint that no new elements between 2 and 7 may be added until every element greater than 7 has been added. (1)
$\frac{MSC:}{05A05}\\05A15\\05A16$	10	Removed from consideration as largest element. (3)
	8	Merged with 7. (2)
	9	Merges the $7 - 8$ link with the largest element; said link removed from consideration. (4)
	1	Merges with 2 link. (3)
	3	Merges the $1-2$ and $3-5$ links. (4)
	6	Merges the $1-5$ link with the largest element. (4)



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Counting permutations avoiding <u>any</u> set of patterns by automatically discovering the "link patterns" for that set.





Insertion Encoding Encodes how a permutation can be built from bottom to top. 689274153 middle placement



689274153



middle placement

middle placement



689274153

02010 020103

middle placement

middle placement

right placement



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middle placement

middle placement

right placement

right placement



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Insertion Encoding

down the stages of the insertion encodings of every permutation in the class, and simplify them in certain ways, you end up with a finite set.

(Finding Regular Insertion Encodings for Permutation Classes, Vatter, 2012)

Some permutation classes have a "finite insertion encoding" — if you write



Insertion Encoding

Some permutation classes have a "finite insertion encoding" — if you write down the stages of the insertion encodings of every permutation in the class, and simplify them in certain ways, you end up with a finite set. (Finding Regular Insertion Encodings for Permutation Classes, Vatter, 2012)

Example: Av(132, 231)

by an increasing sequence



This is the set of permutations made of up a decreasing sequence followed

875213469


























































2102102010

20 219



2102102010

20 219





the number of permutations in a class up to some point. Av[1324)



Even if a class has an infinite insertion encoding, you can still use it to count the number of permutations in a class up to some point. Av (1324) $\int 1202 \cong 10$ must $\int 10202 \cong 10$ bef $\int 10202 = 10$



the number of permutations in a class up to some point. $\frac{Av[1324)}{C}$



the number of permutations in a class up to some point. $\frac{Av[1324)}{C}$



the number of permutations in a class up to some point. $\frac{Av[1324)}{G} = \frac{12}{G}$



the number of permutations in a class up to some point. Av[1324) 210 210 0210 2010 012 30 0102 0102



Even if a class has an infinite insertion the number of permutations in a class u Av[1324) 31020 1210 210 2010 02102010 >2010 012 30 0102 01020


























































The "link diagrams" in the 1324 paper are precisely encoding the has been closed.

relationships between slots — often you cannot fill slot A until after slot B

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That means they can follow the link diagrams to know exactly what the transitions between simplified slot configurations are.

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That means they can follow the link diagrams to know exactly what the transitions between simplified slot configurations are.

Huge computational savings because the simplification is an expensive operation in the original insertion encoding.

So the "big picture", translated into the insertion encoding, is that the paper uses a very efficient construction to generate the insertion encoding finite state machine for all states with up to 25 slots.



state machine for all states with up to 25 slots.

It also uses some extremely clever theoretical and optimization tricks to reach length 50!

Table 2 The first 50 terms of the $Av(1324)$ series.
1
2
6
23
103
513
2762
15793
94776
591950
3824112
25431452
173453058
1209639642
8604450011
62300851632
458374397312
3421888118907
25887131596018
198244731603623
1535346218316422
12015325816028313
94944352095728825
757046484552152932
6087537591051072864

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Big idea: We use a structure that automatically discovers and tracks the relationships between slots.

It simultaneously derives the right "link pattern" analogues and uses them to count.

COMBINATORIAL EXPLORATION: AN ALGORITHMIC FRAMEWORK FOR ENUMERATION

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Automatic enumeration of permutation classes (and other objects)

Discovers (rigorously) combinatorial specifications, which can be turned into generating functions and polynomial-time counting algorithms.





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$$T_{1}(x) = T_{2}(x) + E_{3}(x)$$

$$T_{2}(x) = 1$$

$$E_{3}(x) = T_{4}(x) + E_{5}(x)$$

$$T_{4}(x) = x/(1-x)$$

$$E_{5}(x) = T_{7}(x) \cdot E_{3}(x) \cdot T_{9}(x) \cdot T_{10}(x)$$

$$T_{7}(x) = T_{1}(x) + E_{11}(x)$$

$$T_{9}(x) = x$$

$$T_{10}(x) = 1/(1-x)$$

$$E_{11}(x) = E_{3}(x) \cdot T_{10}(x)$$
ion of permutation jects)

$$T_{1}(x) = \frac{1 + x - \sqrt{1 - 6x + 5x^{2}}}{2x(2-x)}$$
is covers (rigorously) combinatorial pecifications, which can be turned into the period of t

.



The Permutation Pattern Avoidance Library (PermPAL)

PermPAL is a database of algorithmically-derived theorems about permutation classes.

The Combinatorial Exploration framework produces rigorously verified combinatorial specifications for families of combinatorial objects. These specifications then lead to generating functions, counting sequence, polynomial-time counting algorithms, random sampling procedures, and more.

This database contains 24,454 permutation classes for which specifications have been automatically found. This includes many classes that have been previously enumerated by other means and many classes that have not been previously enumerated.

Some Notables Successes:

- 6 out of 7 of the principal classes of length 4
- all 56 symmetry classes avoiding two patterns of length 4
- all 317 symmetry classes avoiding three patterns of length 4
- the "domino set" used by Bevan, Brignall, Elvey Price, and Pantone to investigate Av(1324)
- the class Av(3412, 52341, 635241) of Alland and Richmond corresponding a type of Schubert variety
- the class Av(2341, 3421, 4231, 52143) equal to the (Av(12), Av(21))-staircase (see Albert, Pantone, and Vatter), which appears to be non-D-finite
- all of the permutation classes counted by the Schröder numbers conjectured by Eric Egge
- the class Av(34251, 35241, 45231), equal to the preimage of Av(321) under the West-stack-sorting operation (see Defant)

Section 2.4 of the article Combinatorial Exploration: An Algorithmic Framework for Enumeration gives a more comprehensive list of notable results.

The comb_spec_searcher github repository contains the open-source python



Tili

 $(x) \cdot T_{10}(x)$

 $6x + 5x^2$

ion of permutation iects)

prously) combinatorial which can be turned into ctions and polynomial-time lithms.



One of the fundamental tools for Combinatorial Exploration is the tiling. It's essentially a data structure that represents a set of (gridded) permutations.



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Gridded permutation = a permutation with grid lines draw so that entries are split into cells of a grid



underlying permutation: 4265317

the same cells.



A gridded permutation *p* contains a gridded permutation *q* as a pattern if there is a subsequence of entries of *p* that are order–isomorphic to *q* and <u>in</u>





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A *tiling* is a grid with obstructions: gridded permutations that must be avoided requirements: gridded permutations that must be contained

A tiling represents the set of all gridded permutations that can be drawn on that grid that avoid all of the obstructions and contain all of the requirements.







- The tiling represents all gridded permutations on a 2x3 grid with: • exactly one point in the bottom-left cell
- no points in the bottom-middle or top-right cells
- no 132 pattern in the top left cell
- no crossing 21 pattern between the top-left and top-middle cells contains a 12 pattern in the top-middle cell





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We can generate the insertion encoding graph using tilings instead of slot

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Each tiling represents a set of permutations just like each insertion encoding configurations represents the set of permutations that can be generated from that configuration.

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It is a fast operation to "place an entry into a slot" on a tiling and simplify the obstructions.

























We can generate the insertion encoding graph using tilings instead of slot configurations!

Each tiling represents a set of permutations just like each insertion encoding configurations represents the set of permutations that can be generated from that configuration.

It is a fast operation to "place an entry into a slot" on a tiling and simplify the obstructions.

No expensive checks, just like the link patterns in 1324, but we didn't need to first describe and prove any structure by hand.









We can remove the points and only use the top row because the obstructions already keep track of where the bad patterns can show up.

Two states are isomorphic when they are simply the same tiling. For the original insertion encoding this was a <u>very</u> expensive check.









None of this is specific to 1324, and we can do it with any set of forbidden patterns.


































Very preliminary — still improving the parallel implementation

Definitely does not beat 50 terms of Av(1324)!

like the link patterns ahead of time.

- Since this is general purpose, it doesn't "know" a structural theorem

But, I can get to 30s on my laptop and into the 40s on a larger machine.

Length	Permlab	Permuta	Kuszmaul	Inoue	this work
10	0.53	1.20	0.01	0.02	0.28
11	1.13	8.23	0.05	0.02	0.41
12	5.69	58.40	0.21	0.03	0.63
13	36.5		1.32	0.09	0.91
14	270		8.80	0.20	1.44
15			61.6	0.41	2.11
16			438.5	0.95	3.39
17				2.54	5.43
18				6.72	10.3
19				16.6	17.6
20				40.2	34.9
21				93.8	61.6
22				218	124
00				512	255
23				~26GB memory	200
04				938	526
۲4				~50GB memory	~1GB memory
25				2539	941
20				~75GB memory	~2GB memory
26					1857
20					~3GB memory

		$\bigcirc \bigcirc \bigcirc \bigcirc$
Length	Permlab	Basis
10	0.53	1324
11	1.13	
12	5.69	
13	36.5	
14	270	
15		
16		
17		Length 1-12
18		Restrict to
19		Simples
20		
21		
22		
23		
24		
25		
26		

		-		A. (1774)			
	Av(1324)					Stop	
	Ν	Universal	Simple	+Ind	-Ind	+lrr	-lrr
	1	1	1	1	1	1	
	2	2	2	1	1	1	
	3	6	0	3	3	3	
	4	23	2	13	12	10	1
	5	103	6	69	56	40	4
	6	513	28	396	289	177	22
	7	2762	125	2355	1604	848	112
	ð 0	15/93	503	14303	5415	4319	2200
	10	501050	15714	573828	368260	128800	10871
	11	3824112	84388	3758866	2421115	744237	120475
	12	25431452	465957	25195016	16352401	4427578	751934
		JIZ			E		
	00			23	55		
~	26	JB men	iory 🛛				
~	260	938		52	26		

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13	36.5		One
14	270		probl
15			perm
16			goal (
17			which
18			funct
19			math
20			Mor
21			Men
22			• N
23			• 0
24			• A
25			• -

muta Triangle About Blog People Papers Programs Research Projects Talks

study of permutation patterns is a very active area of arch and has connections to many other fields of mematics as well as to computer science and physics. of the main questions in the field is the enumeration lem: Given a particular set of permutations, how many nutations does the set have of each length? The main of this research group is to develop a novel algorithm h will aid researchers in finding structures in sets of nutations and use those structures to find generating



tions to enumerate the set. Our research interests lead also into various topics in discrete nematics and computer science.

nbers

- Michael Albert, Professor, Otago University
- Christian Bean, Lecturer, Keele University
- Anders Claesson, Professor, University of Iceland
- Jay Pantone, Assistant Professor, Marquette University
- Henning Ulfarsson, Assistant Professor, Reykjavik University

1857 ~3GB memory



MATHEMATICS OF COMPUTATION Volume 87, Number 310, March 2018, Pages 987–1011 http://dx.doi.org/10.1090/mcom/3216 Article electronically published on May 31, 2017

FAST ALGORITHMS FOR FINDING PATTERN AVOIDERS AND COUNTING PATTERN OCCURRENCES IN PERMUTATIONS

WILLIAM KUSZMAUL

ABSTRACT. Given a set Π of permutation patterns of length at most k, we present an algorithm for building $S_{\leq n}(\Pi)$, the set of permutations of length at most n avoiding the patterns in Π , in time $O(|S_{\leq n-1}(\Pi)| \cdot k + |S_n(\Pi)|)$. Additionally, we present an O(n!k)-time algorithm for counting the number of copies of patterns from Π in each permutation in S_n . Surprisingly, when $|\Pi| =$ 1, this runtime can be improved to O(n!), spending only constant time per permutation. Whereas the previous best algorithms, based on generate-andcheck, take exponential time per permutation analyzed, all of our algorithms take time at most polynomial per outputted permutation.

If we want to solve only the enumerative variant of each problem, computing $|S_{\leq n}(\Pi)|$ or tallying permutations according to Π -patterns, rather than to store information about every permutation, then all of our algorithms can be implemented in $O(n^{k+1}k)$ space.

Our algorithms extend to considering permutations in any set closed under standardization of subsequences. Our algorithms also partially adapt to considering vincular patterns.

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Kuszmaul	Inoue	this work		
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61.6	0.41	2.11		
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		1857		
		~3GB memory		

Implicit Generation of Pattern-Avoiding Permutations Based on πDDs

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September 21, 2013

Abstract

Pattern-avoiding permutations are permutations where none of the subsequences match the relative order of a given pattern. Pattern-avoiding permutations are related to practical and abstract mathematical problems and can provide simple representations for such problems. For example, some floorplans, which are used for optimizing very-large-scale integration(VLSI) circuit design, can be encoded into pattern-avoiding permutations. The generation of pattern-avoiding permutations is an important topic in efficient VLSI design and mathematical analysis of patten-avoiding permutations. In this paper, we present an algorithm for generating pattern-avoiding permutations, and extend this algorithm beyond classical patterns to generalized patterns with more restrictions. Our approach is based on the data structure πDDs , which can represent a permutation set compactly and has useful set operations. We demonstrate the efficiency of our algorithm by computational experiments.

Inoue	this work		
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0.20	1.44		
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Results

Classical Length-5 **Pattern-Avoiding Permutations**

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Abstract

We have made a systematic numerical study of the 16 Wilf classes of length-5 classical pattern-avoiding permutations from their generating function coefficients. We have extended the number of known coefficients in fourteen of the sixteen classes. Careful analysis, including sequence extension, has allowed us to estimate the growth constant of all classes, and in some cases to estimate the sub-dominant power-law term associated with the exponential growth.

There are 120 classes of the form $Av(\beta)$ where $|\beta| = 5$. They split into 16 different groups based on their counting sequence.

One is already solved, one independently counted up to length 38, and this paper computed the other 14 up to lengths between 23 and 27.

Our method looks like to get most of the 14 up to length 30, some up to 35 or 40.

Efficiency varies a lot between classes. The number of different tilings computed could be exponential, polynomial, even linear.







Bounds on the Growth Rate

In addition to the counting sequences, you can also turn these truncated insertion encoding trees into rigorous lower bounds for the growth rate of the class. (maybe upper bounds too?)

Av(12453): growth rate is known to be $9 + 4\sqrt{2} \approx 14.6568$ we get a lower bound of 13.3748 by counting up to length 30

Av(41235): Guttmann estimates the growth rate is \approx 13.703 using 27 terms we get a lower bound of 12.1619 by counting up to length 27



Other Avenues

We have adapted this to count pattern-avoiding involutions, and applied it to the patterns 1324 and 4231. Forthcoming paper with Christian Bean and Tony Guttmann.

Christian and I have also adapted it to count pattern-avoiding inversion sequences. You can really do this for any combinatorial object that you can make a tiling-like object for.

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